

Complaints data relating to IPO, FPO, OFS, Rights Issue, QIP, Preferential Issue, SME IPO and FPO including OFS, Buyback of Securities, Delisting of Equity Shares and Substantial Acquisitions of Shares and takeovers.

Data for every month ending March 2024

March 2024							
Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	1	1	1	1	-	Less than 30 Days
2	SEBI (SCOREs)	-	6	6	-	-	Less than 30 Days
3	Stock Exchanges (if relevant)	-	-	-	-	-	NA
4	Other Sources (if any)	2	1	2	1	-	Less than 30 Days
	Grand Total	3	8	9	2	-	

*Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Nov-23	7	6	5	8
2	Dec-23	8	6	10	4
3	Jan-24	2	15	8	9
4	Feb-24	9	15	21	3
5	Mar-24	3	8	9	2

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	6	141	147	0
2	2024	0	89*	85	2*
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	Grand Total	6	230	232	2

*Due to withdrawal of a DRHP, 2 pending complaints were not carried forward and adjusted accordingly.

Complaints data relating Private Placement of REITs and InvITs Data for every month ending March 2024

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCOREs)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

*Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Nov-23	Nil	Nil	Nil	Nil
2	Dec-23	Nil	Nil	Nil	Nil
3	Jan-24	Nil	Nil	Nil	Nil
4	Feb-24	Nil	Nil	Nil	Nil
5	Mar-24	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	--	--	--	--
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	Grand Total	--	--	--	--

Complaints data relating to Public Offers by REITs and InvITs Data for every month ending March 2024

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCOREs)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

*Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Nov-23	Nil	Nil	Nil	Nil
2	Dec-23	Nil	Nil	Nil	Nil
3	Jan-24	Nil	Nil	Nil	Nil
4	Feb-24	Nil	Nil	Nil	Nil
5	Mar-24	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	--	--	--	--
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	Grand Total	--	--	--	--

Complaints data relating to Private Placement of Municipal debt securities Data for every month ending March 2024

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCOREs)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

*Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Nov-23	Nil	Nil	Nil	Nil
2	Dec-23	Nil	Nil	Nil	Nil
3	Jan-24	Nil	Nil	Nil	Nil
4	Feb-24	Nil	Nil	Nil	Nil
5	Mar-24	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	--	--	--	--
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	Grand Total	--	--	--	--

Complaints data relating to public offers by debt market Data for every month ending March 2024

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCOREs)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

*Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Nov-23	Nil	Nil	Nil	Nil
2	Dec-23	Nil	Nil	Nil	Nil
3	Jan-24	Nil	Nil	Nil	Nil
4	Feb-24	Nil	Nil	Nil	Nil
5	Mar-24	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	--	--	--	--
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	Grand Total	--	--	--	--