

**Complaints data relating to IPO, FPO, OFS, Rights Issue, QIP, Preferential Issue, SME IPO and FPO including OFS, Buyback of Securities, Delisting of Equity Shares and Substantial Acquisitions of Shares and takeovers.**

Data for every month ending October 2023

October 2023							
Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	1	2	1	2	-	Less than 30 Days
2	SEBI (SCOREs)**	-	2	-	2	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	NA
4	Other Sources (if any)	-	4	1	3	-	Less than 30 days
	<b>Grand Total</b>	<b>1</b>	<b>8</b>	<b>2</b>	<b>7</b>	<b>-</b>	

\*Inclusive of complaints of previous months resolved in the current month

\*\* Since last week of month ended October 2023, SCORES portal is not accessible due to technical reasons. Same has been highlighted to SEBI for resolution. On receipt of access, if any complaint received / resolved in last week of October 2023, will be updated in due course.

# Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

**Trend of monthly disposal of complaints (For 5 months on rolling basis)**

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Jun-23	0	6	2	4
2	July-23	4	7	8	3
3	Aug-23	3	7	8	2
4	Sep-23	2	9	10	1
5	Oct-23	1	8	2	7

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):**

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	6	141	147	0
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	<b>Grand Total</b>	<b>6</b>	<b>141</b>	<b>147</b>	<b>0</b>

**Complaints data relating Private Placement of REITs and InvITs Data for every month ending October 2023**

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCOREs)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	<b>Grand Total</b>	-	-	-	-	-	-

\*Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

**Trend of monthly disposal of complaints (For 5 months on rolling basis)**

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Jun-23	Nil	Nil	Nil	Nil
2	July-23	Nil	Nil	Nil	Nil
3	Aug-23	Nil	Nil	Nil	Nil
4	Sep-23	Nil	Nil	Nil	Nil
5	Oct-23	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):**

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	--	--	--	--
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	<b>Grand Total</b>	--	--	--	--

**Complaints data relating to Public Offers by REITs and InvITs Data for every month ending October 2023**

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCOREs)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	<b>Grand Total</b>	-	-	-	-	-	-

\*Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

**Trend of monthly disposal of complaints (For 5 months on rolling basis)**

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Jun-23	Nil	Nil	Nil	Nil
2	July-23	Nil	Nil	Nil	Nil
3	Aug-23	Nil	Nil	Nil	Nil
4	Sep-23	Nil	Nil	Nil	Nil
5	Oct-23	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):**

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	--	--	--	--
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	<b>Grand Total</b>	--	--	--	--

**Complaints data relating to Private Placement of Municipal debt securities Data for every month ending October 2023**

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCOREs)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	<b>Grand Total</b>	-	-	-	-	-	-

\*Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

**Trend of monthly disposal of complaints (For 5 months on rolling basis)**

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Jun-23	Nil	Nil	Nil	Nil
2	July-23	Nil	Nil	Nil	Nil
3	Aug-23	Nil	Nil	Nil	Nil
4	Sep-23	Nil	Nil	Nil	Nil
5	Oct-23	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):**

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	--	--	--	--
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	<b>Grand Total</b>	--	--	--	--

**Complaints data relating to public offers by debt market Data for every month ending October 2023**

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total pending during particular month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCOREs)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	<b>Grand Total</b>	-	-	-	-	-	-

\*Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

**Trend of monthly disposal of complaints (For 5 months on rolling basis)**

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Jun-23	Nil	Nil	Nil	Nil
2	July-23	Nil	Nil	Nil	Nil
3	Aug-23	Nil	Nil	Nil	Nil
4	Sep-23	Nil	Nil	Nil	Nil
5	Oct-23	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):**

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023	--	--	--	--
2	2024	--	--	--	--
3	2025	--	--	--	--
4	2026	--	--	--	--
5	2027	--	--	--	--
	<b>Grand Total</b>	--	--	--	--